

**IALA**  
**CHANGE OF STATUS PROJECT RISK REGISTER**

Last Update: 1 April 2014

| No. | Risk Description   | Consequence   | * Risk Estimation<br>(Prior to Mitigation) |           | Control & Treatment   | Risk Estimation<br>(Post Mitigation) |        | Additional Actions (to<br>reduce further the likelihood<br>of adverse events and<br>mitigate residual impact if<br>they do occur)  | **Residual<br>Risk:<br>Reported to &<br>Owned/<br>Monitored by: |
|-----|--|---|--|-----------|---|--------------------------------------|--------|--|---|
|     |  |   | Probability                                | Impact    |   | Probability                          | Impact |  |   |
| 1   | <b>Membership</b><br><br><b>i) loss of existing members</b><br><br><b>ii) loss of industrial members<br/>(greater than normal turnover<br/>levels)</b> | Loss of income<br>Loss of influence<br>Govts do not allow<br>industrial members to<br>join<br>Loss of experience &<br>knowledge | High                                       | Very High | Propose suitable model in Draft<br>International Agreement &<br>General Regulations to be<br>presented to Governments<br><br>IALA to seek to be involved in<br>Inter-Governmental diplomatic<br>process to finalise Agreement<br><br>Agreement to provide for deposit<br>of sufficient number of<br>instruments including that of<br>Host Nation before entry into<br>force | Low                                  | High   | Effective change<br>management and<br>communications strategy<br>with existing<br>membership.<br><br>International legal advice<br><br>Promotion of the benefits<br>of IALA IGO<br>membership to increase<br>memberships in the<br>longer term | Secretary<br>General  |
|     |  |   | High                                       | High      | Transitional arrangements so that<br>Governments that do not sign<br>may continue as Affiliate<br>Members<br><br>Consultation and close liaison<br>with IMC regarding options for<br>industry members<br><br>Adoption of models used by<br>other IGOs where different<br>membership categories are in<br>place eg. ILO  | Low                                  | High   |  |   |

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| 2   | <b>Financial<br/>Costs of Operation</b><br><br>(NB IGO status may lead to a less<br>onerous fiscal regime for IALA) | Larger Secretariat<br>required eg. more<br>technical staff needed.<br>Potential requirement for<br>more official languages   | High                                       | Very High | Draft International Agreement<br>and General Regulations<br><br>Headquarters Agreement with<br>Host Nation<br><br>Less onerous fiscal regime<br>consequent upon IGO status | Med                                  | Med    | Review of future<br>structure   | Council   |
| 3   | <b>Loss of Flexibility</b>  | Lengthier processes to<br>gain agreement to<br>recommendations and<br>standards.<br><br>Limitation on the issues<br>that IALA can choose to<br>allocate to Committees. | Very High                                  | High      | Development of Draft<br>International Agreement &<br>General Regulations as per 1.<br>Above<br><br>Adoption of modern, adaptable<br>working arrangements                   | Med                                  | Med    | Review of Future<br>Structure   | Council   |

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|     |  |  | Probability                                | Impact |   | Probability                          | Impact |   |   |
| 4.  | AtoN providers may be replaced by increased bureaucratic influence | Technical representatives may be replaced by diplomats at meetings. Meetings become more bureaucratic<br>Output documents are weaker | Med  | High   | Draft International Agreement to ensure that member states are represented by their AtoN providers' authorities | Med                                  | Med    | IALA part of diplomatic process as lead consultee.<br><br>Course of action adopted by Denmark to explain position to Danish Parliament. | Council   |
| 5.  | Winding up of IALA is challenged                                   | Current IALA remains in being longer than is necessary with some additional cost.  | Med  | Med    | French legal advice<br><br>Understanding of steps to be taken<br><br>Robust transition arrangements             | Low                                  | Low    | Ensure due diligence approach   | Council   |

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|-----|------------------------------|---|--|--------|---|--------------------------------------|--------|---|---|
|     |                              |   | Probability                                | Impact |   | Probability                          | Impact |   |   |
| 6.  | <b>Relationship with IMO</b> | Recognition by IMO may not be forthcoming.<br>Potential loss of influence or credibility if so<br>Lack of clear parameters between organisations<br>Conflict between bodies | Med  | High   | Part of a trilogy (IMO & IHO)<br><br>Understanding of the remit of IMO within IALA<br><br>Close liaison with senior IMO officials | Low                                  | Low    | Clear framework for the future in terms of respective responsibilities<br><br>MOUs with IMO and IHO                               | Secretary General   |
| 7.  | <b>Diplomatic process</b>    | Loss of control by current IALA resulting in a new organisation different from that intended  | High                                       | High   | Sign-off of draft International Agreement by Council before the diplomatic process starts   | Med                                  | High   | IALA to monitor and seek withdrawal if necessary  | Council   |

**Key:**

\* Probability of Occurrence is calculated according to the table below:

\*\* Named individual responsible for managing each risk

## **Probability of Occurrence and Impact**

| <b>Estimation <sup>x</sup></b> | <b>Description</b>  | <b>Indicators</b>   | <b>Consequence of Impact</b>  |
|--------------------------------|---|---|---|
| <b>Very High</b>               | Very likely to occur within 1 year or more than 80% chance of occurrence.           | Has occurred within last 1 to 2 years.  | Financial impact on IALA likely to exceed 250,000 EUR<br>Major impact on IALA strategic plans and delivery of operational services<br>Major political and stakeholder concern<br>Very low defensibility of realisation of risk<br>Reinstatement to pre-risk condition extremely difficult requiring considerable resources and possible additional funding  |
| <b>High</b>                    | Likely to occur every 1 to 2 years or 50% to 80% chance of occurrence.              | Potential of it occurring within 5 years<br>Has occurred.                             | Financial impact on IALA likely to be in region of 100,000 to 250,000 EUR<br>Significant impact on IALA strategic plans and delivery of operational services<br>Significant political and stakeholder concern<br>Low defensibility of realisation of risk<br>Reinstatement to pre-risk condition requiring commitment of a high level of resources.         |
| <b>Medium</b>                  | Possibility of occurrence in 10-year period or 20% to 50% chance of occurrence.     | Has occurred, to varying degrees, within last 10 years<br>History of some occurrence. | Financial impact on IALA likely to be in region of 25,000 to 100,000 EUR<br>Moderate impact on IALA strategic plans and delivery of operational services<br>Moderate stakeholder impact/concern<br>Some defensibility of realisation of risk probable<br>Reinstatement to pre-risk condition possible with the commitment of a moderate level of resources. |
| <b>Low</b>                     | Unlikely to occur in a 10 year period or 10% to 20% chance of occurrence.           | Has not occurred in last 10 years<br>Low history of occurrence.                       | Financial impact of IALA likely to be in the region of 5,000 to 25,000 EUR<br>Low impact on IALA strategic plans and delivery of operational services<br>Low stakeholder impact/concern<br>Defensibility of realisation of risk likely<br>Reinstatement to pre-risk condition likely to be achieved with the minimum commitment of resources.               |
| <b>Very Low</b>                | Highly unlikely to occur in a 20 year period or less than 10% chance of occurrence. | Has not occurred<br>Occurrence more than 20 years ago.                                | Financial impact on IALA likely to be below 5,000 EUR<br>Very low (if any) impact on IALA strategic plans and delivery of operational services<br>Little (if any) stakeholder concern/impact<br>Excellent prospect of defensibility of realisation of risk<br>Reinstatement to pre-risk condition very likely to be achieved.                               |